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ADMINISTRATIVE AND FINANCIAL MATTERS

Income and budget performance in the biennium 2000-2001

Status report on receipt of contributions from Parties

Note by the secretariat

1. This document contains information on the status of receipt of contributions to the UNFCCC trust funds as at 15 May 2000.

2. Table 1 lists the arrears from prior years (1996, 1997, 1998 and 1999), the 2000 indicative contributions, the amounts and dates of payment in 2000, contributions paid in advance for future years and the total outstanding balances due to the Trust Fund for the Core Budget of UNFCCC.

3. Tables 2 and 3 report on contributions received in the year 2000 for the Trust Fund for Participation in the UNFCCC Process and the Trust Fund for Supplementary Activities of the UNFCCC, respectively.

4. Table 4 lists Parties in six groups according to the status of contributions to the Core Budget, namely:

(a) Parties having paid contributions up to and including 2000;

(b) Parties with 2000 contributions outstanding;

(c) Parties with 1999 and 2000 contributions outstanding;

(d) Parties with 1998, 1999 and 2000 contributions outstanding;

(e) Parties with 1997, 1998, 1999 and 2000 contributions outstanding; and

(f) Parties with 1996, 1997, 1998, 1999 and 2000 contributions outstanding.

5. Parties are kindly requested to bring to the attention of the secretariat any errors or omissions in the information presented.

Table 1. Trust Fund for the Core Budget of UNFCCC: status of contributions as at 15 May 2000

(United States dollars)

Party	1996-1999 arrears	2000 contribution	2000 amount paid	Date paid	Contributions paid in advance	Outstanding contributions
Albania	100	325				425
Algeria	14,389	9,329				23,718
Antigua and Barbuda	(1,536)	217			1,319	0
Argentina	106,428	119,643				226,071
Armenia	3,421	651				4,072
Australia	(102,247)	160,862				58,615
Austria	0	102,180	102,180	01/05/2000		0
Azerbaijan	23,732	1,193				24,925
Bahamas	(5,250)	1,627			3,623	0
Bahrain	1,065	1,844				2,909
Bangladesh	3,248	1,085				4,333
Barbados	727	868				1,595
Belgium	114,596	119,752				234,348
Belize	196	108	340	27/01/2000	36	0
Benin	(780)	217			563	0
Bhutan	(953)	108			845	0
Bolivia	1,254	759				2,013
Botswana	(42)	1,085				1,043
Brazil	(87)	159,561				159,474
Bulgaria	(3,765)	1,193			2,572	0
Burkina Faso	377	217				594
Burundi	759	108				867
Cambodia	1,407	108				1,515
Cameroon	3,897	1,410				5,307
Canada	0	296,343	296,343	10/04/2000		0
Cape Verde	1,661	217				1,878
Central African Republic	1,642	108				1,750
Chad	1,561	108				1,669

Party	1996-1999 arrears	2000 contribution	2000 amount paid	Date paid	Contributions paid in advance	Outstanding contributions
Chile	16,318	14,752				31,070
China	45	107,929				107,974
Colombia	(2,597)	11,823				9,226
Comoros	1,561	108				1,669
Congo	1,293	325				1,618
Cook Islands	0	108				108
Costa Rica	4,462	1,736				6,198
Côte d'Ivoire	3,312	976				4,288
Croatia	(41)	3,254				3,213
Cuba	2,801	2,603	2,673	31/01/2000		2,731
Cyprus	(34)	3,688				3,654
Czech Republic	(2,025)	11,606				9,581
Democratic People's Republic of Korea	11,415	1,627				13,042
Democratic Republic of the Congo	1,402	759				2,161
Denmark	25	75,062	75,062	20/01/2000		25
Djibouti	196	108				304
Dominica	1,561	108				1,669
Dominican Republic	1,592	1,627				3,219
Ecuador	2,079	2,169				4,248
Egypt	12,575	7,051				19,626
El Salvador	3,470	1,302				4,772
Eritrea	(953)	108			845	0
Estonia	(128)	1,302				1,174
Ethiopia	2,579	651				3,230
European Community	0	270,904	270,904	10/03/2000		0
Fiji	(16)	434				418
Finland	(23,161)	58,900	52,818	07/03/2000	17,079	0
France	0	709,942	915,789	24/03/2000	205,847	0
Gabon	1,559	1,627				3,186
Gambia	0	108				108
Georgia	14,531	759				15,290
Germany	0	1,069,198	1,025,353	27/04/2000		43,844
Ghana	(7)	759				752
Greece	27,519	38,073				65,592

Party	1996-1999 arrears	2000 contribution	2000 amount paid	Date paid	Contributions paid in advance	Outstanding contributions
Grenada	1,561	108				1,669
Guatemala	3,473	1,952				5,425
Guinea	1,930	325				2,255
Guinea-Bissau	1,509	108				1,617
Guyana	1,561	108				1,669
Haiti	377	217				594
Honduras	1,962	325				2,287
Hungary	6,972	13,017				19,989
Iceland	1,251	3,471				3,446
India	76	32,433				32,509
Indonesia	18,178	20,393				38,571
Iran (Islamic Republic of)	84,862	17,464	34,532	29/03/2000		67,794
Ireland	12,303	34,297	29,086	20/01/2000	7,012	0
Israel	13,848	37,965	62,861	10/05/2000	11,048	0
Italy	10,011	380,787	380,787	20/01/2000		10,011
Jamaica	5,869	651			5,209	0
Japan	667,436	2,231,575				2,164,137
Jordan	1,251	651	840	24/03/2000	214	0
Kazakhstan	44,627	5,207				49,834
Kenya	1,318	759				2,077
Kiribati	196	108				304
Kuwait	10,701	13,884				24,585
Lao People's Democratic Republic	953	108			845	0
Latvia	52,781	1,843			917	0
Lebanon	67	1,736	2,729	20/04/2000	426	0
Lesotho	4,421	217			204	0
Libyan Arab Jamahiriya	4,301	13,450				17,751
Liechtenstein	1,251	651				626
Lithuania	62,960	1,627			1,169	0
Luxembourg	39	7,376	9,514	25/01/2000	2,099	0
Madagascar	107	325				432
Malawi	577	217				794
Malaysia	17,339	19,850	17,337	25/01/2000		19,852
Maldives	953	108			845	0

Party	1996-1999 arrears	2000 contribution	2000 amount paid	Date paid	Contributions paid in advance	Outstanding contributions
Mali	1,826	217				2,043
Malta	1,506	1,519				3,025
Marshall Islands	(196)	108			88	0
Mauritania	1,561	108				1,669
Mauritius	(1,297)	976			321	0
Mexico	24,250	107,929				132,179
Micronesia (Federated States of)	(688)	108			580	0
Monaco	(480)	434			46	0
Mongolia	(968)	217			751	0
Morocco	(21)	4,447				4,426
Mozambique	(872)	108			764	0
Myanmar	(34)	868				834
Namibia	(431)	759				328
Nauru	196	108				304
Nepal	2,118	434	2,695	15/02/2000	143	0
Netherlands	0	177,025	228,353	21/01/2000	51,324	0
New Zealand	46	23,972	30,923	21/02/2000	6,905	0
Nicaragua	352	108	421	15/05/2000		39
Niger	1,742	217				1,959
Nigeria	(13,830)	3,471			10,359	0
Niue	(283)	108			175	0
Norway	39	66,167				66,206
Oman	2	5,532				5,534
Pakistan	10,469	6,400				16,869
Palau		90				90
Panama	(483)	1,410				927
Papua New Guinea	(29)	759	979	15/02/2000	249	0
Paraguay	4,001	1,519				5,520
Peru	34	10,739				10,773
Philippines	14,809	8,786				23,595
Poland	(15,457)	21,260				5,803
Portugal	74,377	46,751	134,756	25/01/2000	13,628	0
Qatar	(10)	3,580				3,570
Republic of Korea	(104,596)	109,122				4,526

Party	1996-1999 arrears	2000 contribution	2000 amount paid	Date paid	Contributions paid in advance	Outstanding contributions
Republic of Moldova	17,036	1,085				18,121
Romania	47	6,074				6,121
Russian Federation	(11,221)	116,823				105,602
Rwanda	129	108				237
Saint Kitts and Nevis	(953)	108			845	0
Saint Lucia	(1,906)	108			1,798	0
Saint Vincent and the Grenadines	696	108				804
Samoa	0	108				108
San Marino	(772)	217			555	0
Sao Tome and Principe		108				108
Saudi Arabia	208,023	60,961	53,291	25/01/2000		215,693
Senegal	2,494	651				3,145
Seychelles	(557)	217			340	0
Sierra Leone	1,561	108				1,669
Singapore	20	19,416				19,436
Slovakia	(632)	3,796	4,212	07/03/2000	1,048	0
Slovenia	(21)	6,617				6,596
Solomon Islands	0	108				108
South Africa	(65)	39,700	39,700	17/02/2000	65	0
Spain	(33)	281,048	362,538	10/04/2000	81,523	0
Sri Lanka	2,344	1,302				3,646
Sudan	2,851	759				3,610
Suriname	753	434				1,187
Swaziland	(772)	217			555	0
Sweden	(25,061)	117,040				91,979
Switzerland	(7)	131,792				131,785
Syrian Arab Republic	17,881	6,942				18,823
Tajikistan	520	434				954
Thailand	56	18,440				18,496
The former Yugolav Republic of Macedonia	416	434				850
Togo	1,642	108				1,750
Tonga	118	108				226
Trinidad and Tobago	3,285	1,736				5,021
Tunisia	1,937	3,037				4,974

Party	1996-1999 arrears	2000 contribution	2000 amount paid	Date paid	Contributions paid in advance	Outstanding contributions
Turkmenistan	2,097	651				2,748
Tuvalu	1,561	108				1,669
Uganda	(1,447)	434			1,013	0
Ukraine	71,327	20,609				91,936
United Arab Emirates	12,341	19,308				31,649
United Kingdom	20	552,334	568,602	10/03/2000	16,248	0
United Republic of Tanzania	1,655	325				1,980
United States of America	0	2,711,774	2,711,774	04/05/2000		0
Uruguay	4,676	5,207				9,883
Uzbekistan	(4,072)	2,712			1,360	0
Vanuatu	1,561	108				1,669
Venezuela	59,355	17,355				76,710
Viet Nam	0	759				759
Yemen	2,992	1,085				4,077
Yugoslavia	9,229	2,820				12,049
Zambia	1,826	217				2,043
Zimbabwe	(303)	976				673

Notes: (a) Figures in brackets are overpayments which are considered as contributions for future years.
(b) Slight apparent errors in balances are due to rounding.

Table 2. Trust Fund for Participation in the UNFCCC Process: 2000 contributions received as at 15 May 2000

Party	Date received	Amount *(United States dollars)*
Greece	02/2/2000	10,000
Canada	10/4/2000	103,306
United Kingdom	20/4/2000	251,840
United States of America	04/5/2000	750,000
Total		**1,115,146**

Table 3. Trust Fund for Supplementary Activities of the UNFCCC: 2000 contributions received as at 15 May 2000

Party	Date received	Amount *(United States dollars)*
Ireland	20/1/2000	5,150
Japan	17/2/2000	10,000
Japan	23/3/2000	450,000
Canada	10/4/2000	103,306
Finland	10/4/2000	60,226
United Kingdom	20/4/2000	157,400
Netherlands	20/4/2000	40,000
Australia	26/4/2000	17,700
United States of America	09/5/2000	1,418,226
Total		**2,282,008**

Table 4. List of Parties according to status of contributions* (as of 15 May 2000)

(a) Parties having paid contributions up to and including 2000		
Antigua and Barbuda	Lao People's Democratic Republic	Niue
Austria	Latvia	Papua New Guinea
Bahamas	Lebanon	Portugal
Belize	Lesotho	Republic of Korea
Benin	Lithuania	Saint Kitts and Nevis
Bhutan	Maldives	Saint Lucia
Canada	Marshall Islands	San Marino
Denmark	Mauritius	Seychelles
Eritrea	Micronesia (Federated States of)	Slovakia
European Community	Monaco	South Africa
Finland	Mongolia	Spain
France	Mozambique	Swaziland
Germany	Nepal	Uganda
Ireland	Netherlands	United Kingdom
Israel	New Zealand	United States of America
Italy	Nicaragua	Uzbekistan
Jamaica	Nigeria	
50 Parties		

* A Party having paid 50 per cent or more of its contribution is included in the table for the respective year.

Table 4 ***(continued)***

(b) Parties with 2000 contributions outstanding		
Albania	Ghana	Panama
Australia	Iceland	Peru
Belgium	India	Poland
Botswana	Japan	Qatar
Brazil	Jordan	Romania
Bulgaria	Liechtenstein	Russian Federation
China	Luxembourg	Samoa
Colombia	Malaysia	Singapore
Cook Islands	Mexico	Slovenia
Croatia	Morocco	Solomon Islands
Cuba	Myanmar	Sweden
Czech Republic	Namibia	Switzerland
Estonia	Norway	Thailand
Fiji	Oman	Zimbabwe
Gambia		
43 Parties		

Table 4 (*continued*)

(c) Parties with 1999 and 2000 contributions outstanding		
Argentina	Hungary	Rwanda
Bahrain	Indonesia	Tajikistan
Barbados	Kuwait	The Former Yugoslav Republic of Macedonia
Dominican Republic	Libyan Arab Jamahiriya	Tonga
Ecuador	Madagascar	United Arab Emirates
Gabon	Malta	Uruguay
Greece	Pakistan	
20 Parties		

(d) Parties with 1998, 1999 and 2000 contributions outstanding		
Algeria	Egypt	Philippines
Armenia	Guatemala	Sri Lanka
Bolivia	Haiti	Suriname
Burkina Faso	Kenya	Syrian Arab Republic
Chile	Kiribati	Trinidad and Tobago
Cyprus	Iran (Islamic Republic of)	Tunisia
Democratic Republic of the Congo	Malawi	Turkmenistan
Djibouti	Nauru	Ukraine
24 Parties		

Table 4 (*concluded*)

(e) Parties with 1997, 1998, 1999 and 2000 contributions outstanding		
Burundi	Saint Vincent and the Grenadines	Venezuela
Congo	Saudi Arabia	Yugoslavia
Georgia		
7 Parties		

(f) Parties with 1996, 1997, 1998, 1999 and 2000 contributions outstanding		
Azerbaijan	El Salvador	Paraguay
Bangladesh	Ethiopia	Republic of Moldova
Cambodia	Grenada	Senegal
Cameroon	Guinea	Sierra Leone
Cape Verde	Guinea-Bissau	Sudan
Central African Republic	Guyana	Togo
Chad	Honduras	Tuvalu
Comoros	Kazakhstan	United Republic of Tanzania
Costa Rica	Mali	Vanuatu
Côte d'Ivoire	Mauritania	Yemen
Democratic People's Republic of Korea	Niger	Zambia
Dominica		
34 Parties		

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NATIONAL COMMUNICATIONS FROM PARTIES INCLUDED IN ANNEX I TO THE CONVENTION

Progress report on the in-depth reviews of second national communications

Note by the secretariat

1. The present note describes the status of in-depth reviews of second national communications (NC2) from Parties included in Annex I to the Convention (Annex I Parties), conducted in accordance with decision 9/CP.2.[1]

2. All Annex I Parties (with the exception of Luxembourg) have submitted their second national communications, which were due by 15 April 1997 for Annex II Parties and not later than 15 April 1998 for Parties with economies in transition. At the time of the preparation of this note, 34 country visits had taken place in connection with the in-depth reviews of national communications and 22 reports have been published. These reports are also accessible on-line via the World Wide Web. They bear the symbol FCCC/IDR.2/country code. The review visit dates and status of the preparation of reports are presented in the table below. First national communications (NC1) from Lithuania and the Ukraine, which were submitted on 21 March 1998 and 16 February 1998 respectively, were also subject to in-depth review.

[1] For the full texts of decisions adopted by the second session of the Conference of the Parties, see FCCC/CP/1996/15Add.1.

3. In accordance with decision 4/CP.3,[2] Croatia, the Czech Republic, Liechtenstein, Monaco, Slovakia and Slovenia have been added to Annex I to the Convention. The amendment adding these Parties to Annex I entered into force on 13 August 1998. Consequently, first national communications from these Parties, according to Article 12.5 of the Convention, were due within six months of this date, namely by 13 February 1999. Communications from these Parties are still pending, with the exception of Monaco which has already submitted its second national communication. Furthermore, Belarus ratified the Convention on 11 May 2000 and entry into force is due to occur on 9 August 2000. In accordance with Article 12.5, its first national communication is due by 9 February 2001. The secretariat will endeavour to undertake in-depth reviews of national communications from these Parties recently added to Annex I as soon as they become available.

4. Decision 6/CP.3 provides for the publication of full texts of the in-depth review reports as official UNFCCC documents and their translation into the six official languages of the United Nations. The secretariat was unable to arrange for the translation of the reports prepared so far due to an overload of the translation services at the United Nations Office at Geneva. For this reason, the in-depth review reports are published in English only. The secretariat has made available the full texts of the majority of the first and all of the second national communications received so far from Annex I Parties on its web site (http://www.unfccc.de).

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[2] For the full texts of decisions adopted by the third session of the Conference of the Parties, see FCCC/CP/1997/7/Add.1.

Second national communications: Status of review as at 1 June 2000

Annex I Party	Communication due date	Communication received date	Review visit dates	Notes
Australia	15.04.97	04.12.97	07 - 11.10.98	Report published
Austria	15.04.97	31.07.97	30.03 - 03.04.98	Report published
Belgium	15.04.97	21.08.97	01 - 05.02.99	Visit done
Bulgaria	15.04.98	30.06.98	03 - 07.05.99	Report published
Canada	15.04.97	05.05.97	11 - 15.05.98	Report published
Croatia*	13.02.99	-		
Czech Republic	15.04.98	06.08.97	01 - 05.02.99	Report published
Denmark	15.04.97	05.12.97	21 - 25.09.98	Report published
E.C.	15.04.97	15.03.99	28.06 - 02.07.99	Visit done
Estonia	15.04.98	31.03.98	01 - 05.02.99	Visit done
Finland	15.04.97	15.04.97	11 - 15.05.98	Report published
France	15.04.97	12.06.97	23 - 27.03.98	Visit done
Germany	15.04.97	16.04.97	16 - 20.02.98	Report published
Greece	15.04.97	12.12.97	17 - 21.05.99	Visit done
Hungary	15.04.98	01.12.97	04 - 08.10.99	Visit done
Iceland	15.04.97	06.08.97	14 - 18.09.98	Report published
Ireland	15.04.97	08.07.97	05 - 08.05.98	Report published
Italy	15.04.97	27.05.99	13 - 17.09.99	Visit done
Japan	15.04.97	02.12.97	30.11 - 04.12.98	Report published
Latvia	15.04.98	02.06.98	17 - 21.05.99	Report published
Liechtenstein	13.02.99	-		
Lithuania (NC1)	15.04.98	18.05.98	22 - 26.03.99	Report published

Annex I Party	Communication due date	Communication received date	Review visit dates	Notes
Luxembourg	15.04.97	-		
Monaco **	15.04.97	14.05.97	-	No review visit envisaged
Netherlands	15.04.97	14.04.97	28.09 - 02.10.98	Visit done
New Zealand	15.04.97	27.05.97	31.08 - 04.09.98	Report published
Norway	15.04.97	16.04.97	05 - 09.10.98	Report published
Poland	15.04.98	29.04.98	27.09 - 01.10.99	Visit done
Portugal	15.04.97	26.11.97	18 - 22.05.98	Report published
Romania	15.04.98	01.02.99	27.09 - 01.10.99	Visit done
Russian Federation	15.04.98	18.02.99	28.06 - 02.07.99	Visit done
Slovakia	15.04.98	06.08.97	14 - 17.04.98	Report published
Slovenia	13.02.99	-		
Spain	15.04.97	22.11.97	05 - 09.10.98	Report published
Sweden	15.04.97	05.05.97	30.03 - 03.04.98	Report published
Switzerland	15.04.97	21.04.97	10 - 13.03.98	Report published
Ukraine (NC1)	13.02.98	16.02.98	27.09 - 01.10.99	Visit done
United Kingdom	15.04.97	13.02.97	07 - 11.12.98	Report published
United States of America	15.04.97	11.08.97	20 - 24.04.98	Report published

* Italics denote Parties added to Annex I in accordance with decision 4/CP.3.

** Monaco notified the Depositary on 24 November 1992 of its intention to be bound by Article 4.2, subparagraphs (a) and (b).

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NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION

PROVISION OF FINANCIAL AND TECHNICAL SUPPORT

Activities of the secretariat to facilitate the provision of financial and technical support, and information on communications from Parties not included in Annex I to the Convention

Note by the secretariat

I. INTRODUCTION

A. Mandate

1. The activities of the secretariat to facilitate the provision of financial and technical support to Parties not included in Annex I to the Convention (non-Annex I Parties) for the preparation of national communications are mandated by Article 8.2 (c) of the Convention and various decisions by the Conference of the Parties (COP) (see decisions 3/CP.2, 10/CP.2, 12/CP.4 and 8/CP.5).[1]

2. Decision 10/CP.2, in particular, requests the secretariat "to facilitate assistance to Parties, particularly developing country Parties, in the preparation of their initial communications, through the organization of workshops at the regional level; to provide a forum for the exchange

[1] For the full texts of decisions adopted by the Conference of the Parties at its second, fourth and fifth sessions, see documents FCCC/CP/1996/15/Add.1, FCCC/CP/1998/16/Add.1 and FCCC/CP/1999/6/Add.1 respectively.

inventory, as well as, on request, for other elements of information in the initial communication; and to provide a report to the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice (SBSTA) at each of their sessions".

B. Scope

3. The present document reports on the activities undertaken by the secretariat since the twelfth sessions of the subsidiary bodies (Bonn, 12 to 16 June 2000). Section II of the report describes the activities of the secretariat to facilitate assistance to Parties, including activities to support the work of the Consultative Group of Experts on National Communications from Parties Not Included in Annex I to the Convention (CGE) and participation in regional workshops other than those of the CGE. Section III provides an overview of the status of preparation of national communications by non-Annex I Parties.[2] The report summarizes problems, constraints, issues and lessons identified by 82 non-Annex I Parties in response to a questionnaire designed and sent by the secretariat.[3]

II. ACTIVITIES OF THE SECRETARIAT

A. Support to the Consultative Group of Experts

4. Pursuant to decision 8/CP.5, paragraph 5, the UNFCCC secretariat has been facilitating the establishment and functioning of the CGE. The main objective of the Group is to improve national communications by non-Annex I Parties.

5. The CGE was established on 8 June 2000 and held its first meeting on 8 and 9 June 2000 in Bonn, Germany. An oral report of this meeting was provided by its Chairman, Mr. Jose Miguez (Brazil), to the SBI at its twelfth session.

6. The report of the first meeting of the CGE included information on its work plan and budget for the period 2000-2001 and conclusions and recommendations of its regional workshop for the Latin America and the Caribbean region.

7. The secretariat is facilitating the organization of three regional workshops of the CGE in the year 2000. The first workshop was held on 8-12 May 2000 in Mexico City, Mexico. A report on this workshop (FCCC/SBI/2000/INF.4) was made available to the SBI at its twelfth session. The second of these workshops is scheduled for 15-18 August 2000 in Nairobi, Kenya, and the third will be held on 16-20 October 2000 for the Asia and the Pacific region (venue to be decided). In March 2001 an interregional global workshop will take place at a venue to be decided. This meeting will facilitate the interregional exchange of experience that will help the

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[2] A more detailed overview of the status of preparation of initial national communications of non-Annex I Parties will be made available on a regular basis in a table on the UNFCCC secretariat web site from September 2000 onwards. This table will summarize the responses of non-Annex I Parties to the questionnaire, as well as information provided by the Global Environment Facility, its implementing agencies and bilateral programmes.

[3] The previous report FCCC/SBI/2000/INF.1 provided a detailed description of the activities by the secretariat to facilitate the provision of financial and technical assistance and gave a rather general overview of the status of preparation of national communications. Since the preparation of that report, only limited additional activities have been undertaken by the secretariat. The present report therefore provides only updates on activities of the secretariat to facilitate assistance to Parties (section II) and focuses more on the status of preparation of initial national communications (section III).

CGE to prepare specific recommendations for the improvement of national communications from non-Annex I Parties, for consideration by the subsidiary bodies at their fourteenth sessions.

8. An email LISTSERV facilitating discussions among members of the CGE is maintained by the secretariat. Members include the experts nominated by the Parties, the three experts from organizations with relevant experience selected by the secretariat, and secretariat staff.

9. The total cost estimate for the implementation of the work plan of the CGE for the year 2000 is US$ 300,380. The SBI at its twelfth session, after considering the work plan and budget of the CGE, urged Annex II Parties to contribute financially to the work of the Group (FCCC/SBI/2000/5, para.28 (c)). To date, contributions have been received from the Governments of Finland (US$ 13,371), Germany (US$ 21,000 from the Bonn Fund) and the United States of America (US$ 150,000). The Government of Australia has pledged the sum of US$ 14,800. There is therefore a shortfall of US$ 101,209 to be funded in order for the CGE to implement its work plan for the year 2000.

B. Participation in regional workshops other than those of the CGE

10. Since the compilation of the report of the SBI on its twelfth session, the secretariat has participated in and made important contributions to the conduct of three regional workshops. The first was the West African Regional Exchange Workshop on the Preparation of National Communications of Non-Annex I Countries: Balance and Perspectives, 25-26 April 2000, Dakar, Senegal. This workshop, organized by the National Communications Support Programme[4] (NCSP), had the objective of providing a forum for experts to exchange experience in the preparation of various elements of the integration of climate change concerns into national planning and issues related to capacity-building for the effective implementation of the Convention. Forty-one participants from 20 countries attended this workshop. The workshop identified needs for financial and technical assistance for the following purposes:

(a) To improve access to information and create public awareness of the causes and impacts of climate change;

(b) To raise awareness of decision-makers on the issue of climate change;

(c) To improve national institutional capacities and frameworks for the active participation of the private sector and civil society as well as scientific and research institutions in measures to address climate change;

(d) To promote regional cooperation in the development and implementation of climate change projects through the establishment of appropriate institutions, including the setting up of regional climate change committees;

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[4] The NCSP is implemented jointly by the United Nations Development Programme and the United Nations Environment Programme with Global Environment Facility funding. The aims of the NSCP are to promote the quality, comprehensiveness and timeliness of initial national communications from non-Annex I Parties, and to ensure timely and cost-effective implementation of GEF projects on climate change enabling activities.

(e) To improve capacities and capabilities to collect, collate, disseminate and use data and information relevant to the preparation of national communications and implementation of climate change projects;

(f) To improve synergy in the work of the Global Environment Facility (GEF) and other agencies and organizations relating to capacity-building in the area of climate change;

(g) To transform national institutions in charge of development and transfer of technology into regional centres and expand their mandates to help them assist countries in negotiating for new technologies and adapting them as appropriate to their needs.

11. The second workshop was the Regional Exchange Workshop on Climate Change for Central America, Panama City, Panama, 23-26 May 2000. The meeting, organized by the NCSP, aimed at identifying ways of improving the quality of greenhouse gas (GHG) inventories in the land-use change and forestry (LUCF) sector and of national vulnerability and adaptation assessments. It aimed at improving the process of preparing national communications. Twenty-three participants from seven countries attended the workshop. Specific issues identified under LUCF included the following:

(a) The most important LUCF source category parameters for the countries of the region are "changes in forest and other woody biomass stocks". Key variables in this category are "managed forests" and "annual increment in biomass";

(b) The area of managed forests expressed as a percentage of total forested area varies from 1.5 to 100 because of varying interpretations of the IPCC definition;

(c) The use of default data for the annual increment in biomass is potentially a source of uncertainty of net CO_2 emissions and removals;

(d) Data on stocks and increment data from tree crop plantations, such as coffee, are not available for most participating countries;

(e) Uncertainties in GHG emissions and removals in the LUCF sector for the region need to be reduced in the following areas: carbon stocks, changes in carbon stocks and rates of land-use change.

12. The main conclusions of the vulnerability and adaptation assessment discussions were as follows:

(a) The integration of climate scenarios with socio-economic scenarios has proved to be a very useful tool in understanding the vulnerability of the region to expected climate change and the requirements for responsible adaptation strategies, in the context of evolving national sustainable development objectives;

(b) Important progress has been made in advancing from identifying adaptation options and planning (stage I activities[5]) towards building capacity to prepare for adaptation

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[5] Decision 11/CP.1, paragraph 1 (d) defines different stages of adaptation activities depending on the short, medium and long-term strategies. For a more detailed definition of these stages, please refer to decision 11/CP.1 contained in the report of the Conference of the Parties on its first session (FCCC/CP/1995/7/Add.1).

(stage II activities). The region is ready to serve in the pilot phase of the NCSP plans for designing the structural elements of a stage II adaptation programme.

13. The third workshop was the Eastern and Southern African Regional Training and Thematic Workshop on Vulnerability and Adaptation to Climate Change, 4-7 July 2000, Nairobi, Kenya, also organized by the NCSP. The principal objective of the workshop was to provide training in methodology issues relating to vulnerability and adaptation assessments, including the preparation of climate and socio-economic scenarios. The workshop also aimed at providing a forum for experts to exchange experience in the preparation of vulnerability and adaptation assessments in relevant sectors of their national economies. Twenty-one participants from 12 countries attended the workshop. The workshop identified the following needs for further financial and technical assistance:

(a) To increase access to training opportunities for African experts on methodologies for vulnerability and adaptation assessments;

(b) To develop models which are more applicable to the African region;

(c) To build capacity to facilitate the effective collection, collation and storage of data and information to enable better assessments of vulnerability and identification of adaptation options to the adverse impacts of climate change;

(d) To promote regional cooperation in the development and implementation of adaptation measures in the priority areas of water resources, agriculture and coastal zones;

(e) To improve stakeholder participation in the identification of measures to improve the adaptive capacity of African country Parties to the impacts of climate change.

III. STATUS OF PREPARATION OF NATIONAL COMMUNICATIONS

14. Information in this section is based on initial national communications submitted so far and national responses from non-Annex I Parties to the questionnaire received by the secretariat during the period April to July 2000, as well as information provided by the GEF, its implementing agencies and bilateral programmes.

15. A brief summary of the main findings is given below.

A. Status of preparation of initial national communications

16. As of 3 August 2000, 27 initial national communications had been submitted to the secretariat in the following chronological order: Jordan, Argentina, Uruguay, Senegal, Federated States of Micronesia, Mexico, Republic of Korea, Zimbabwe, Armenia, Kazakhstan,[6] Mauritius, Egypt, Georgia, Uzbekistan, Indonesia, Cook Islands, Kiribati, Nauru, Samoa, Tuvalu, Vanuatu, Lebanon, Chile, El Salvador, Lesotho, Philippines and Azerbaijan.

17. Argentina, the Federated States of Micronesia and Uruguay have each also submitted an addendum to their initial national communication.

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[6] Pursuant to Article 4.2 (g) of the Convention, Kazakhstan notified the Depositary on 23 March 2000 that it intends to be bound by Article 4.2 (a) and (b) of the Convention.

18. The majority of non-Annex I Parties (96 Parties) are presently engaged in the process of preparing their initial national communications. Of these, 15 Parties have completed their communications and expect to submit them in the course of 2000. Another 30 Parties have mentioned their intention to submit their initial national communications before the end of 2000 although they have yet to finalize the communications. In addition, 40 Parties expect to finalize their initial national communications in 2001 and the rest in 2002 or later.

19. Chad, China, Colombia and Tajikistan have recently received approval for funding from the GEF for the preparation of their initial national communications.

20. India, Kyrgyzstan and Palau have recently submitted project proposals for GEF funding.

21. Seven non-Annex I Parties indicated that they have yet to start work on the preparation of their national communications. Another seven non-Annex I Parties have not provided any information relating to the preparation of their national communications; of these Angola and Sao Tome and Principe only recently ratified or acceded to the Convention.

B. Status of preparation of second national communications

22. Uruguay has received approval for GEF funding of a project to prepare its second national communication. Mexico and Senegal have expressed their intention to start preparation of their second national communications. Fourteen other Parties have submitted project proposals for interim funding of enabling activities phase II, which is intended to strengthen activities initiated during the preparation of their initial national communications and may lead to project proposals for the second national communications.

C. Questionnaire on the status of preparation of initial national communications

23. To obtain an overview of the state of preparation of national communications and to better identify the related needs of developing country Parties, the secretariat has prepared a questionnaire to collect information from Parties on the status of preparation of initial national communications. The questionnaire is distributed on a regular basis by the secretariat to all non-Annex I Parties. Non-Annex I Parties are invited to update and revise the information provided in this report.

24. A total of 82 non-Annex I Parties responded to the last questionnaire distributed by the secretariat during the period April to July 2000. The responses to the questionnaire provided feedback on specific elements of the initial national communications of non-Annex I Parties, including activities and studies being undertaken, as well as the main problems and constraints encountered in the process. Of the 82 respondents, 29 were from Africa, 24 from Asia and the Pacific, 25 from Latin America and the Caribbean and 4 from Eastern Europe.

D. Issues identified

25. A number of issues pertaining to the preparation of initial national communications by non-Annex I Parties have been identified. These are briefly described under the various elements of the initial national communications provided by the guidelines for the preparation of initial national communications of non-Annex I Parties (decision 10/CP.2, annex).

General problems and constraints

26. A number of general problems and constraints encountered by non-Annex I Parties during the preparation of their initial national communications have been identified. Some of these are listed below.

27. There is a lack of national experts and trained persons, suitable national institutions and arrangements and non-availability or inadequacy of technical assistance (see figure 1).

28. Other problems and constraints relate to the adequacy and availability of financial assistance; information exchange; and the timeliness of technical and financial assistance. In addition, Bahrain, Bhutan and Costa Rica stressed constraints related to the availability of data and equipment.

29. Some Parties indicated that they had procedural problems with the GEF and its implementing agencies' assistance. These problems included initial divergence regarding the meaning and execution of the national projects, delays in transfer of funds and the provision of technical feedback to reports and studies. The inadequacy of external assistance was identified mainly in the initial phase of work and has improved since then. The National Communications Support Programme was mentioned as having helped in improving the technical assistance.

30. Concerns and constraints vary from region to region. For example, the respondents from Africa seemed to have concerns with the adequacy of technical and financial assistance, while respondents from Latin America and the Caribbean, Asia and the Pacific and Eastern Europe seemed to be more concerned with national institutions and arrangements in addition to availability of technical assistance.

31. About 60 Parties outlined their needs for further capacity-building in order to prepare their national communications and to further incorporate climate change concerns into national planning. Capacity needs were identified in areas such as institutional strengthening for dealing with climate change in general; public awareness and education; training and exposure of local experts; strengthening of scientific research and technological development programmes; and development of regional and national research centres. The need for improvement of linkages between academia and national environmental and socio-economic problems was also stressed by some respondents. In the opinion of respondents, capacity-building should not only involve government agencies, but also local government, the private sector, non-governmental organizations and local communities.

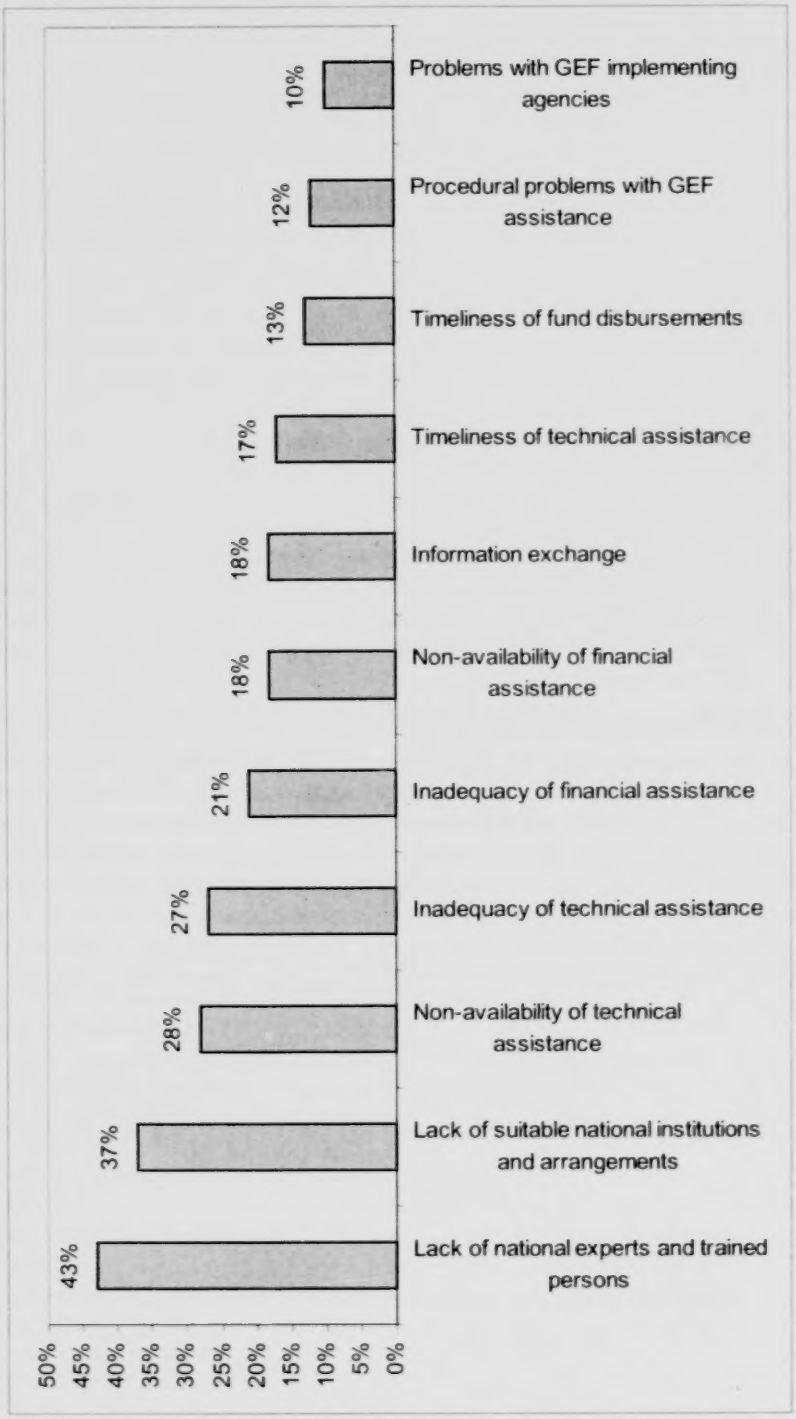


Figure 1: General problems and constraints indicated by reporting non-Annex I Parties

Greenhouse gas inventories

32. Most non-Annex I Parties reporting information on the base year used for the preparation of their national inventories of greenhouse gases used the **base years** proposed by the UNFCCC guidelines for the preparation of initial national communications. Sixty-two per cent and 27 per cent of the respondents used 1994 and 1990 as the base years, respectively. Eleven per cent of respondents used other base years, mainly 1995, due to the lack of national data for either 1990 or 1994 (see figure 2 (a)).

33. A number of countries indicated that they had developed data sets for years other than 1994 and 1990. Many of them, however, stressed that they did not have complete sets of data covering all relevant source categories of the Revised 1996 IPCC Guidelines for National Greenhouse Gas Inventories.

34. Many respondents (65 per cent) used the Revised 1996 IPCC Guidelines, another 10 per cent used the IPCC 1995 Guidelines, while 17 per cent used both guidelines. Eight per cent of the respondents have modified the IPCC Guidelines to accommodate local conditions, for example by adding new source categories (see figure 2 (b)).

Figure 2(a): Base years used for GHG inventories

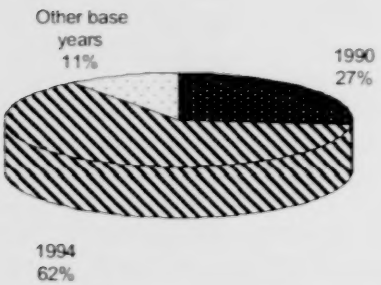
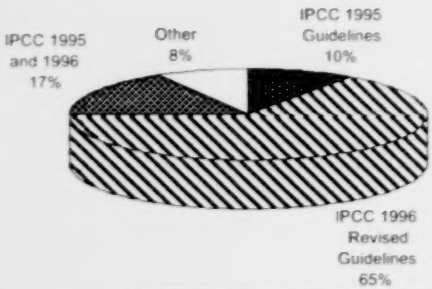


Figure 2 (b): Guidelines used for GHG inventories



35. Sixty-seven respondents have established a technical **GHG inventory team**, while most of those which have yet to establish one intend to do so. Twenty-five respondents indicated that they are setting up **databases** in a more systematic and continuous manner for the preparation of GHG inventories. Almost all remaining Parties (46) expressed their intention to develop a GHG inventory database in the future if financial assistance is forthcoming.

36. The **main problems** identified during the preparation of GHG inventories related to the lack of reliable data, particularly in the areas of land-use change and forestry and solvents; insufficient national capacity for collection, classification, management and analysis of data; the use of uncertain emission factors; and problems in applying IPCC methodology in certain sectors.

37. Respondents from the African and Latin America and the Caribbean regions identified the lack of local emission factors, followed by lack of reliable activity data and the limitations in the application of IPCC methodology in certain sectors as the main problems in preparing their

GHG inventories. In the Asia and the Pacific region, the main problem encountered was the lack of reliable activity data, followed by a lack of appropriate local emission factors and the need for trained national experts. Two respondents from Eastern Europe stressed the lack of both reliable data and local emission factors and the limitations of the IPCC methodology (see figure 3).

General description of steps

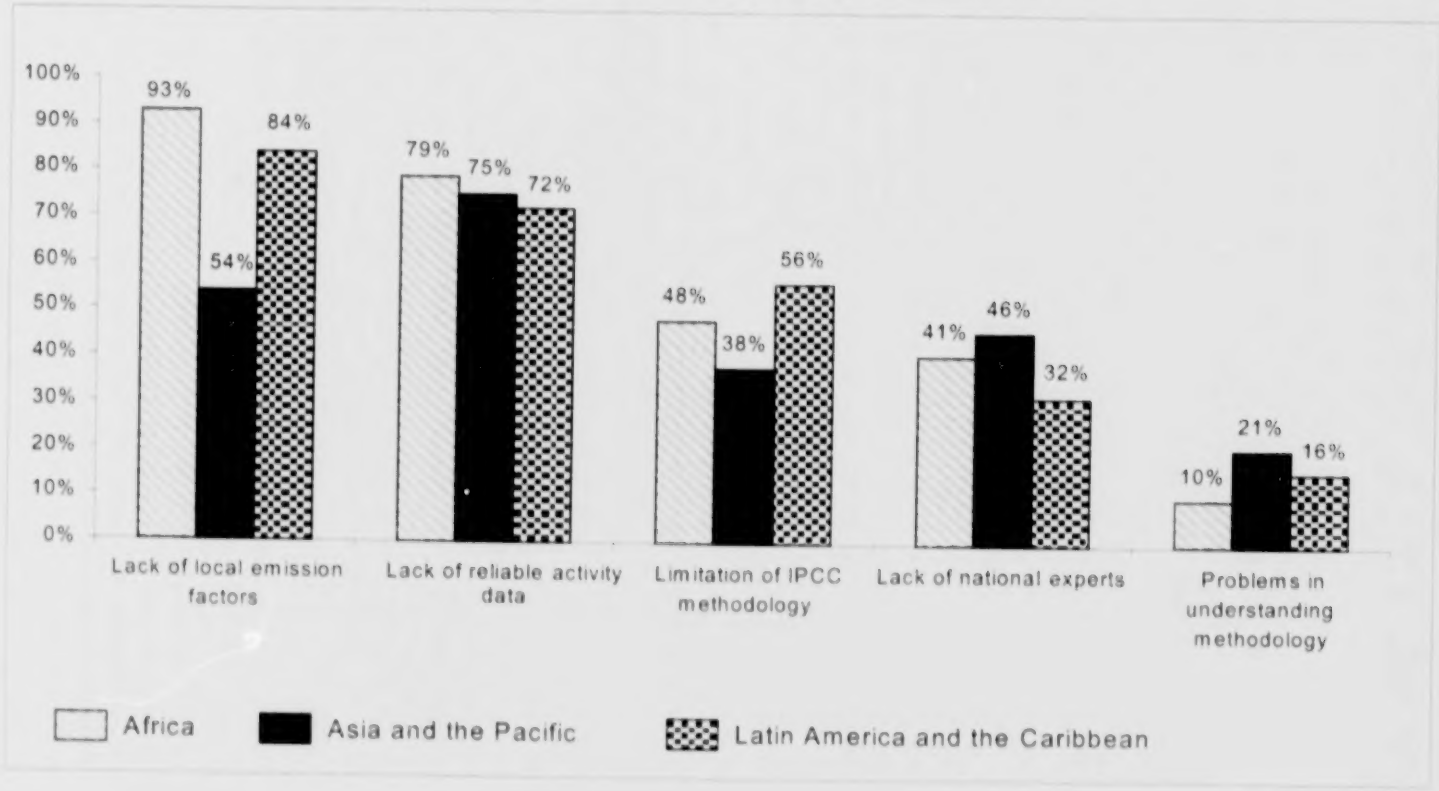
38. Forty respondents reported that they have developed programmes related to **national sustainable** development, while most of the remaining respondents confirmed their intention to develop such programmes in the future. Many of the respondents intend to establish national **research programmes** dedicated to climate change. Seventeen non-Annex I countries already have such programmes in place. Only a minority of countries reported on the elaboration of national climate change **educational** (10 Parties) and **public awareness programmes** (22 Parties). Some countries indicated that they have promoted some research, education and public awareness activities related to climate change.

39. **Systematic observation programmes** have been developed by 26 of the respondents. Thirty Parties that have yet to establish systematic observation programmes intend to do so in the future. Some Parties stressed the inadequacy of the existing observation networks to analyse climate change data and mentioned that although some systematic observation activities have been initiated they have not been integrated and fully developed.

40. **Efforts to integrate climate change concerns into medium- and long-term planning** are being made by most of the respondents (59). These efforts included the development of climate change national plans; the incorporation of climate change into national development, energy and environmental plans; and the inclusion of expenditures on climate change activities in the national budget. Other activities mentioned were the establishment of institutional frameworks (such as inter-ministerial consultative commissions on climate change or national climate change committees or forums encouraging the participation of main stakeholders in planning); the consideration of climate change concerns in the development of legal frameworks for implementing measures on energy, forestry, agriculture and the environment; the promotion of policy-makers' awareness of different policy options relating to climate change; and the provision of training to national experts. A majority of the respondents stressed, however, that they lack adequate capacity to continue and strengthen these efforts and expressed the need for financial resources and technical assistance.

41. Sixty-one non-Annex I Parties are in the process of undertaking **vulnerability assessments**. Thirty-seven of these have used or will use both climate change and socio-economic scenarios, while the rest are using only climate change scenarios. Only 17 countries considered, however, that they have adequate monitoring systems for climate change impacts on terrestrial and marine ecosystems; a majority of countries confirmed their intention to establish such systems.

Figure 3: Difficulties encountered by non-Annex I Parties in preparing GHG inventories



42. The main areas selected for vulnerability assessment are water resources, agriculture, coastal zones, forestry, human health, biodiversity, energy and human settlements, in order of priority. Other areas mentioned included tourism, fisheries, snow cover, land degradation and desertification.

43. The respondents from Africa and Eastern Europe indicated that water resources, agriculture and forestry are the three most important sectors vulnerable to the impact of climate change, while those from Asia and the Pacific and Latin America and the Caribbean regarded agriculture, water resources and coastal zones as the three most important sectors (see figure 4). These results reflect both the geographical location and the national circumstances of the respondents.

44. Thirty-nine respondents used general circulation models to generate scenarios of future climate change. The models used included the Canadian Climate Centre model (CCCM), Geophysical Fluid Dynamics Laboratory models (GFDL and GFDL-T), the Goddard Institute for Space Studies model (GISS), the United Kingdom Meteorological Office model (UKMO), the United Kingdom Office Model run 1989 (UK89), and Hadley Centre models. The MAGICC/SCENGEN model, a simple climate model, was used by some to generate regional climate scenarios. Some Parties also listed the use of the Water Balance model (WATBAL) for measuring climate change impacts in water resources, the habitat suitability index for wildlife, CERES-maize for agriculture and the Holdridge life zone classification and GAP models for forestry. Analysis of hydrodynamic and saline intrusion and beach erosion were used by some in the assessment of coastal zone vulnerability, while others employed risk analysis for appraising human health vulnerability.

45. Although only 12 Parties answered that they have developed **policy frameworks and response strategies** for **implementing adaptation measures**, 24 of the remaining Parties reported that they have started assessing adaptation options for one or more relevant sectors. In addition, another 20 respondents expect to initiate work for developing policy frameworks and response strategies to implement adaptation options.

46. The main sectors where adaptation policy frameworks and response strategies are being assessed and formulated are water resources and agriculture, followed by coastal zones, human health and forestry, in order of priority. Some Parties also mentioned initiatives being taken in the areas of energy, biodiversity, human settlements, fisheries and tourism.

47. Priority sectors varied from region to region. Respondents from the Asia and the Pacific and Latin America and the Caribbean regions highlighted the agriculture, water resources, coastal zones and human health sectors. African respondents however stressed water resources, agriculture, forestry, human health and coastal zones (see figure 5). All four respondents from Eastern Europe are preparing adaptation policy frameworks and response strategies in the areas of water resources, agriculture and forestry.

48. Nineteen respondents have completed a **GHG emissions abatement assessment**, another 29 are in the process of carrying out the assessment, and 15 have yet to initiate work. The main sectors assessed are energy, followed by land-use change and forestry, transport, agriculture, waste management and industrial processes. After the energy sector, the transport sector seems to be more relevant for respondents from Latin America and the Caribbean and Asia and the

Pacific, while the land-use and forestry assessment is important for Africa (see figure 6). The Dominican Republic called for the assessment to take into account technology transfer capacity and opportunities, while Gambia emphasized that, due to lack of local expertise, the assessment of GHG emissions abatement did not take into account the economic impact assessment.

49. Only 28 Parties have identified least-cost GHG emissions abatement options. Of these, 10 each are from Africa and Asia and the Pacific, while six are from Latin America and the Caribbean and two from Eastern Europe. Priority areas identified for abatement options followed the same order of priority as for abatement assessment.

Other information

50. Most of the respondents (61) intend to include in their initial national communications a **list of projects** to be financed in accordance with Article 12.4. Thirty-three respondents intend to include **information for the calculation of global emission trends**, such as emission projections, socio-economic scenarios, data sources, calculation methods and information regarding software and databases used in the estimation of greenhouse gas emission inventories, as well as activity data for determining GHG inventory trends for years other than the base years proposed in the UNFCCC guidelines.

Figure 4: Sectors for vulnerability assessment

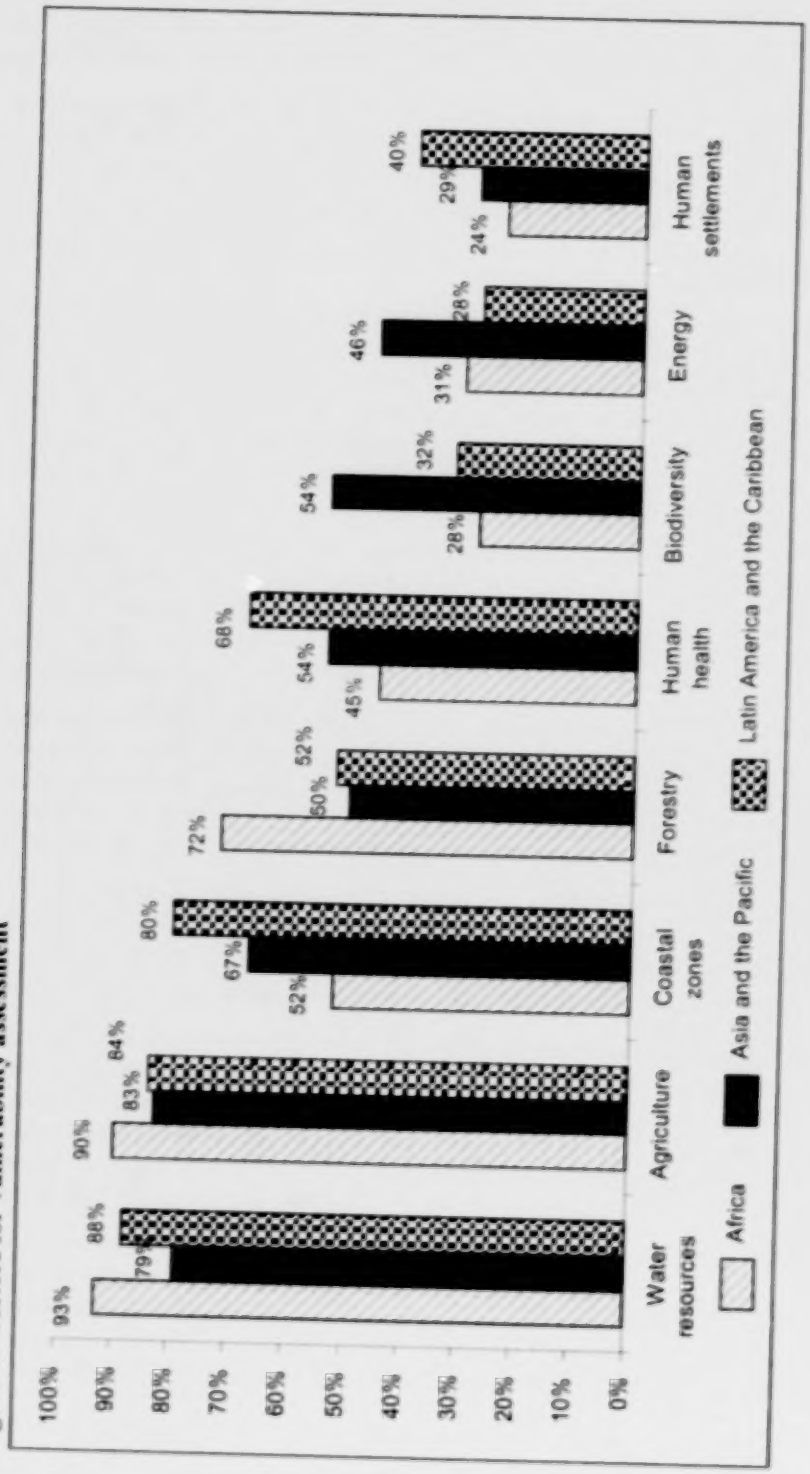


Figure 5: Sectors of adaptation options

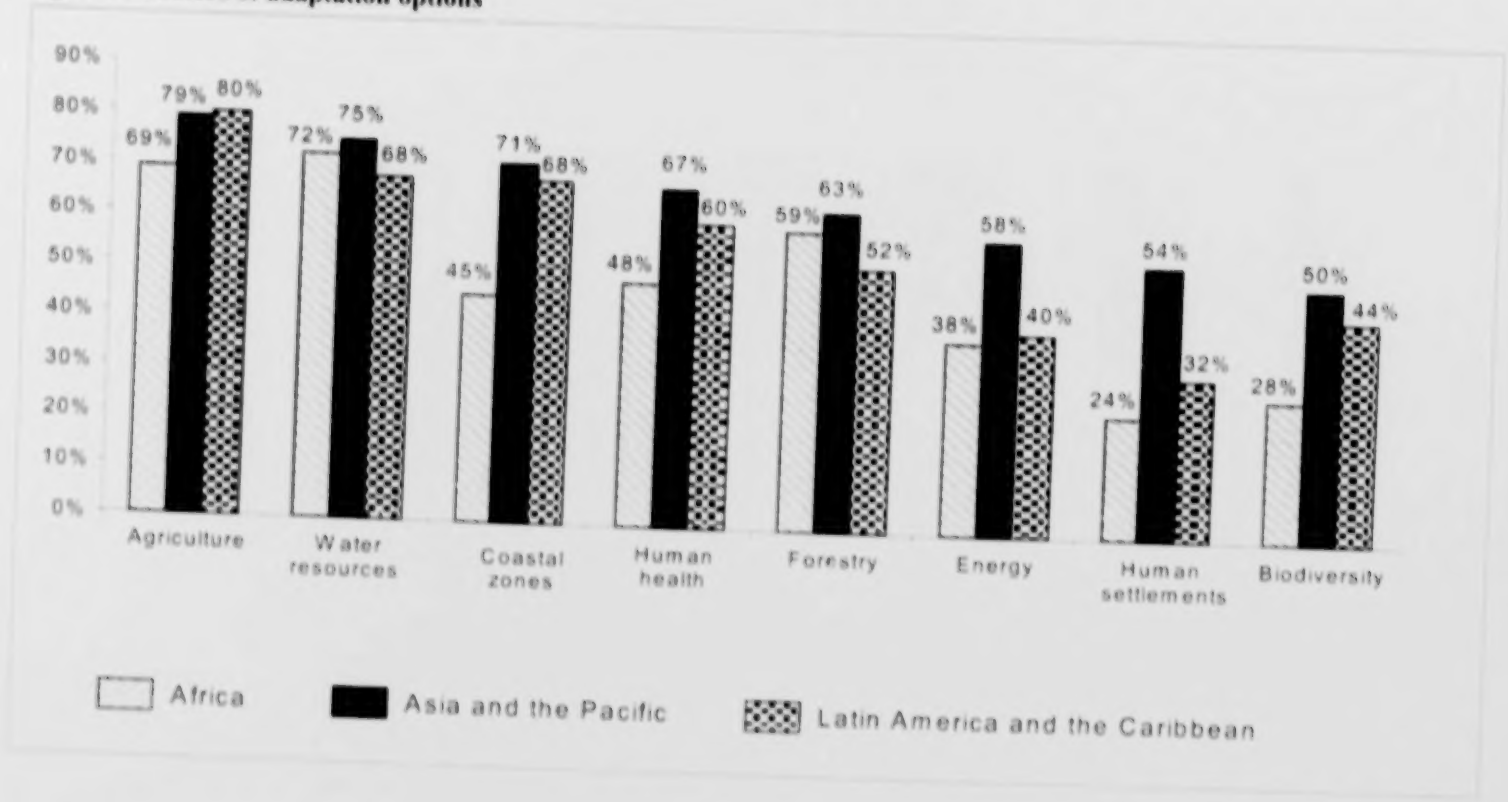
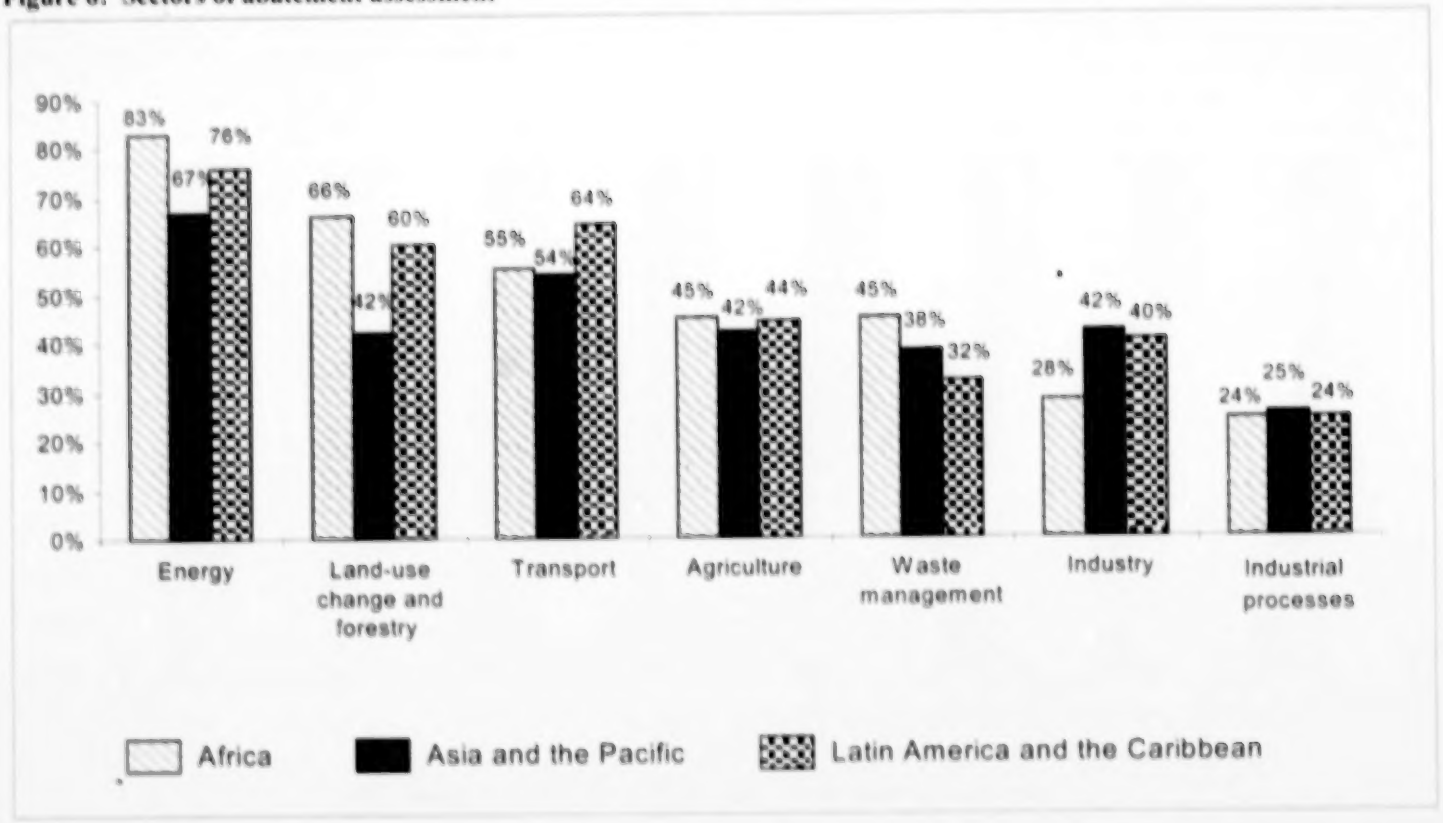


Figure 6: Sectors of abatement assessment



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UNITED NATIONS

Framework Convention on Climate Change

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27 October 2000

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SUBSIDIARY BODY FOR IMPLEMENTATION
Thirteenth session, Part II
The Hague, 13-18 November 2000
Agenda item 10 (a)

NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION

PROVISION OF FINANCIAL AND TECHNICAL SUPPORT

Information on the financial support provided by the Global Environment Facility to non-Annex I Parties for the preparation of their national communications

Note by the secretariat

1. The Conference of the Parties, by its decision 10/CP.2, requested the Convention secretariat to make available to the Subsidiary Body for Implementation (SBI), at each of its sessions, details of the financial support made available to Parties not included in Annex I to the Convention by the Global Environment Facility (GEF), as an entity entrusted with the operation of the financial mechanism for the preparation of their initial communications, including projects in this regard proposed by each Party, the funding decisions and the date and amount of funds made available to the Party (FCCC/CP/1996/15/Add.1).

2. The attached table contains information obtained from the Secretariat of the Global Environment Facility.

3. Parties may wish to note that the table includes a column entitled "Date of Project Document Signature". The secretariat has been informed by the GEF that project funds are available from the GEF Trust Fund to the Party concerned from the date a project document is signed by the Party and an Implementing Agency (United Nations Development Programme (UNDP), United Nations Environment Programme (UNEP), or the World Bank), and that project funds are disbursed by the Implementing Agency in conformity with disbursement arrangements provided for in the project document. In response to views expressed by the Conference of the

the GEF agreed with the Implementing Agencies that all efforts would be made to accelerate the disbursement of funds and that 15 per cent of the GEF project would be made available to the recipient Party as soon as the project proposal is approved by the CEO.

4. Parties may further wish to note that, according to the GEF Secretariat, projects described in the attached table as being approved through expedited procedures, and all national level projects approved under standard procedures, as well as the Pacific Island Climate Change Assistance Project include assistance for the preparation of initial national communications. The remaining projects, that is, pilot phase projects and other regional or global projects approved under standard procedures, cover assistance for the preparation of elements of the initial national communication, and not the communication itself.

GEF PROJECTS IN SUPPORT OF INITIAL NATIONAL COMMUNICATIONS

BY NON-ANNEX I PARTIES

As of July 31, 2000

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
			I. EXPEDITED PROCEDURES					
Albania	UNDP	Enabling Albania to prepare its First National Communication	5/15/96	7/30/96	4/6/98	12/96	0.28	Project under implementation.
Algeria	UNDP	Enabling Algeria to prepare its First National Communication to the UNFCCC	8/8/96	1/22/98	2/11/98	6/1998	0.20	Project under implementation.
Antigua and Barbuda	UNDP	Enabling Antigua and Barbuda to prepare its First National Communication in response to UNFCCC commitments	4/16/97	7/21/97	12/19/97	6/1998	0.16	Project under implementation.

* asterisk indicates Least Developed Country status (Source: Human Development Report 1999, UNDP, website: http://www.undp.org/hdro/report.html); brackets indicate change to Annex I status

[2] Month/Day/Year (or Month/Year)

[3] Month/Year of financial statement report in which first disbursement is recorded. Actual disbursement would have occurred in fiscal quarter preceding the date

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT [3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
			I. EXPEDITED PROCEDURES					
Azerbaijan	UNDP	Enabling Azerbaijan to prepare its First National Communication to the UNFCCC	12/26/96	7/16/97	12/25/97	6/1998	0.33	Initial National Communication submitted in May 2000.
Bahamas	UNDP	Enabling The Bahamas to prepare its First National Communication to the UNFCCC	10/22/96	8/26/97	12/2/97	9/1998	0.19	Project under implementation.
Bahrain	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	6/17/97	10/1/97	11/10/97	11/13/97	0.34	Work completed on GHG Inventory.
Bangladesh*	UNEP	Preparation of Initial National Communication in response to UNFCCC	1/11/99	3/15/99	8/9/99	1/5/00	0.18	Held the first national workshop
Barbados	UNDP	Enabling Activity for the preparation of First National Communication	11/20/96	10/31/97	5/18/98	12/99	0.19	Project under implementation.
Belize	UNDP	Enabling Belize to prepare its First National Communication to the UNFCCC	11/3/97	1/8/98	6/9/98	12/ 1998	0.19	Project under implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT [3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
I. EXPEDITED PROCEDURES								
Benin*	UNDP	Enabling Benin to Prepare the First National Communications in response to commitments to the UNFCCC	9/26/97	9/3/98	3/24/99	9/98	0.10	Project document under preparation.
Bolivia	UNDP	Enabling Bolivia to Prepare its First National Communication in response to commitments to the UNFCCC	6/24/98	7/7/98	9/1/98	12/98	0.19	Project under implementation.
Burkina Faso*	UNDP	Enabling Burkina Faso to prepare its First National Communication to the UNFCCC	10/10/96	5/19/97	1/27/98	12/1997	0.24	Project under implementation.
Burundi*	UNDP	Preparation of the First National Communication to the UNFCCC	8/27/98	9/18/98	1/19/99	9/1999	0.35	Signature of project document pending.
Cambodia*	UNDP	Enabling Activity for the preparation of First National Communication	11/12/96	3/24/97	8/24/98	9/1998	0.34	Project under implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
			I. EXPEDITED PROCEDURES					
Cameroon	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	12/26/96	7/16/97	8/29/97	9/16/97	0.27	All sectoral reports completed
Cape Verde*	UNDP	Enabling Cape Verde to Prepare its first National Communication in Response to its Commitments to the UNFCCC	5/26/96	3/18/97	8/21/97	12/1997	0.32	Project under implementation.
Chad	UNDP	Enabling Chad to Prepare its first National Communication in Response to its Commitments to the UNFCCC	5/13/99	8/23/99	2/1/00		0.10	Project under implementation
Central African Republic*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	7/17/97	8/26/97	11/20/97	8/98	0.35	Project under implementation.
Chile	UNDP	Enabling Chile to fulfil its commitments to the UNFCCC	5/30/96	8/30/96	4/11/97	12/1997	0.35	Initial National Communication submitted in February 2000.
Colombia	UNDP	Enabling Colombia to fulfil its commitments to the UNFCCC		6/24/99	8/28/99		0.35	Project under implementation

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT [3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
I. EXPEDITED PROCEDURES								
Comoros*	UNEP	Enabling Activities for the Preparation of Initial National Communication related to the UNFCCC	2/2/98	11/6/98	1/13/99	2/15/99	0.31	GHG Inventory completed.
Congo	UNDP	Preparation of the First National Communication to the UNFCCC	12/16/97	9/18/98	2/15/99	Disbursement data awaited	0.32	Signature of project document pending.
Côte d'Ivoire	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	12/11/97	3/26/98	5/4/98	5/29/98	0.32	All sectoral reports completed.
Cuba	UNDP	Enabling Cuba to Prepare its initial National Communication to the UNFCCC	7/21/98	8/18/98	3/5/99	12/99	0.15	Project under implementation.
Djibouti	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	3/2/98	11/23/98	3/14/99	4/27/99	0.31	First national workshop held
Dominica	UNDP	Enabling the Commonwealth of Dominica to prepare its First National Communication to the UNFCCC	10/30/97	1/6/98	2/12/98	12/1998	0.17	Project under implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT [3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
				I. EXPEDITED PROCEDURES				
Dominican Republic	UNDP	Enabling Dominican Republic to prepare its First National Communication to the UNFCCC	2/9/99	3/29/99	5/4/99	9/1/99	0.35	Project under implementation.
Ecuador	UNDP	Enabling Ecuador to prepare its First National Communication to the UNFCCC	11/17/98	3/29/99		12/99	0.22	Project under implementation.
El Salvador	UNDP	Enabling El Salvador to prepare its First National Communication to the UNFCCC	1/9/97	3/24/97	9/9/97	9/1997	0.32	Initial National Communication submitted in April 2000.
Eritrea*	UNDP	Enabling Eritrea to prepare its first national communication	7/5/96	9/4/96	4/98	12/1997	0.30	Project under implementation.
Ethiopia*	UNDP	Enabling Ethiopia to prepare its First National Communication to the UNFCCC	2/11/97	5/19/97	7/27/98	9/1997	0.21	Project under implementation.
Gabon	UNDP	Preparation of the First National Communication to the UNFCCC	1/21/98	9/10/98	3/4/99	12/98	0.32	Project under implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
I. EXPEDITED PROCEDURES								
Gambia, The*	UNDP	Enabling Gambia to prepare its first National Communication to the UNFCCC	11/12/96	3/24/97	11/17/97	6/1997	0.14	Project under implementation.
Georgia	UNDP	Enabling Georgia to fulfil its Commitments to the UNFCCC	5/24/96	9/4/96	1/20/97	6/1997	0.33	Project under implementation. National Communication submitted in August 1999.
Ghana	UNDP	Preparation of Initial National Communication for the Implementation of the UNFCCC	4/9/97	7/16/97	1/98	9/1997	0.10	National Communication to be submitted.
Grenada	UNDP	Enabling Grenada to prepare its initial National Communication in Response to its commitments to the UNFCCC	7/21/98	8/26/98	12/11/98	6/1999	0.18	Project under implementation.
Guatemala	UNDP	Enabling Guatemala to Prepare Its First National Communication in Response to Its Commitments to the UNFCCC	11/18/96	3/24/97	1/13/98	6/1998	0.33	Project under implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT [3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
			I. EXPEDITED PROCEDURES					
Guinea*	UNDP	Enabling Guinea to prepare its First National Communication to the UNFCCC	2/7/97	5/19/97	2/9//98	6/1998	0.35	Project under implementation.
Guinea Bissau*	UNDP	Enabling Activity for the preparation of initial National Communication	2/97	6/5/97	---	9/1999	0.35	On hold.
Guyana	UNDP	Enabling Activity for the preparation of initial National Communication	9/30/97	10/27/97	6/5/98	12/1998	0.20	Project under implementation.
Haiti*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	11/25/97	1/26/98	4/24/98	5/15/98	0.35	GHG Inventory completed.
Honduras	UNDP	Enabling Honduras to Prepare Its First National Communication in Response to Its Commitments to the UNFCCC	8/6/97	3/24/97	11/7/97	12/1997	0.33	Project under implementation.
Indonesia	UNDP	Enabling Activity for the preparation of initial National Communication	8/29/97	11/13/97	7/28/98	12/1998	0.23	Initial National Communication submitted in October 30, 1999.

COUNTRY[1]	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
			I. EXPEDITED PROCEDURES					
Iran	UNDP	Enabling Iran to prepare its First National Communication to the UNFCCC	2/11/97	6/24/97	9/97	12/98	0.35	Project under implementation
Jamaica	UNDP	Enabling Jamaica to prepare its First National Communication to the UNFCCC	11/3/97	1/9/98	3/9/98	6/99	0.23	Project under implementation
Jordan	UNDP	Vulnerability and Adaptation Study	...	12/15/97	Add on to main project (see Jordan under Full Projects)	6/98	0.09	Initial National Communication submitted in March 1997
Kenya	UNEP	Enabling Activities for the Preparation of initial National Communication Related to the UNFCCC	10/23/98	10/27/98	3/99	7/29/99	0.17	Mitigation Analysis completed
Korea, Democratic People's Republic of	UNDP	Enabling the DPRK to prepare its First National Communication to the UNFCCC	1/17/94	1/23/97	4/3/97	12/1997	0.15	Project under implementation

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[1]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
I. EXPEDITED PROCEDURES								
Lesotho*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	6/21/96	9/4/96	11/14/96	12/3/96	0.35	Initial National Communication submitted in April 2000.
Madagascar	UNDP	Enabling Madagascar to prepare its first communication in response to its commitments to the UNFCCC	1/25/99	6/24/99	12/18/00		0.35	Project under implementation.
Malawi*	UNDP	Enabling Malawi to prepare its first communication in response to its commitments to the UNFCCC	8/6/96	3/18/97	5/22/98	9/97	0.19	Project under implementation.
Mali*	UNDP	Enabling Mali to prepare its First National Communication to the UNFCCC	4/3/97	7/16/97	1/9/98	6/1998	0.10	Project under implementation.
Malta	UNDP	Enabling Malta to prepare its First National Communication to the UNFCCC	10/25/99	11/9/99	12/20/99		0.26	Project under implementation

COUNTRY[1]	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[1]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
I. EXPEDITED PROCEDURES								
Mauritania*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	2/4/97	7/22/97	2/4/98	2/12/98	0.35	GHG Inventory completed.
Mauritius	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	6/17/97	7/17/97	8/7/97	8/29/98	0.14	Initial National Communication submitted in May 1999.
Moldova	UNDP	Enabling Moldova to prepare its First National Communication to the UNFCCC	7/7/97	8/15/97	12/22/97	6/1998	0.33	Project under implementation.
Mongolia	UNEP	Preparation of initial national communication in response to the UNFCCC	9/23/98	10/5/98	11/12/98	11/98	0.24	Project under implementation.
Morocco	UNDP	Enabling Morocco to prepare its First National Communication to the UNFCCC	3/9/99	10/6/99	2/22/00		0.14	Project under implementation.
Mozambique*	UNDP	Enabling Mozambique to prepare its first national communication to the UNFCCC	10/96	7/16/97	2/98	9/1997	0.22	Project under implementation.

Country[1]	Implementing Agency	Project Title	Date of Country Endorsement[2]	Date of GEF Council Approval[2]	Date of Project Document Signature By Agency[2]	Date of First Disbursement [3]	Amount of GEF Allocation (in US$ millions)	Comments
			I. EXPEDITED PROCEDURES					
Nepal*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	1/12/98	3/4/98	4/18/00	4/18/00	0.31	Project team established.
Nicaragua	UNDP	Enabling Nicaragua to Prepare its First National Communication in Response to its Commitments to the UNFCCC	4/3/97	2/3/98	7/14/98	9/1998	0.30	Project under implementation.
Niger*	UNDP	Enabling Niger to prepare its First National Communication to the UNFCCC	9/2/96	8/25/97	4/1//98	12/1997	0.35	Project under implementation.
Nigeria	UNDP	Enabling Activities for the Implementation of UNFCCC	8/7/96	3/18/97	10/23/97	12/1997	0.26	Project under implementation.
Niue	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	7/23/97	9/29/97	11/11/97	7/98	0.30	Draft initial national communications prepared.

Country*	Implementing Agency	Project Title	Date of Country Endorsement†	Date of GEF Council Approval‡	Date of Project Document Signature By Agency§	Date of First Disbursement ¶	Amount of GEF Allocation (in US$ millions)	Comments
I. EXPEDITED PROCEDURES								
Pakistan	UNEP	Enabling Activity for the Preparation of the Initial National Communication Related to the UNFCCC	11/28/98	12/2/98	2/9/99	2/99	0.27	First national workshop held and sectoral work allocated
Panama	UNDP	Enabling Panama to prepare its First National Communication to the UNFCCC	7/14/97	8/25/97	11/8/98	12/98	0.30	Project under implementation
Paraguay	UNDP	Enabling Paraguay to Prepare its First National Communication to the UNFCCC	6/25/98	7/27/98	2/22/99	12/99	0.19	Project under implementation
Peru	UNDP	Enabling Peru to prepare its First National Communication to the UNFCCC	6/9/97	7/16/97	2/4/98	6/98	0.20	Project under implementation
Philippines	UNDP	Enabling the Philippines to Prepare A National Communication Program in Response to its Commitments to the UNFCCC	5/15/97	7/24/97	11/27/97	12/1997	0.15	Initial National Communication submitted in May 2000

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
			I. EXPEDITED PROCEDURES					
St. Kitts & Nevis	UNDP	Enabling St. Kitts & Nevis to prepare its First National Communication to the UNFCCC	12/19/97	2/3/98	10/8/98	6/98	0.16	Project under implementation.
St. Lucia	UNDP	Enabling St. Lucia to prepare its First National Communication to the UNFCCC	5/15/96	8/6/97	7/16/98	12/1998	0.17	Project under implementation.
St. Vincent and the Grenadines	World Bank	Enabling St. Vincent and the Grenadines to Prepare its First National Communication in Response to its Commitments to the UNFCCC	3/16/98	4/28/98	7.23/98	---	0.35	The initial national communications to be completed.
Senegal	UNDP	Enabling Senegal to prepare its First National Communication to the UNFCCC	6/97	8/25/97	11/28/97	12/1997	0.07	Initial National Communication submitted in December 1997.
Seychelles	UNDP	Enabling Seychelles to prepare its first national communication	11/16/95	7/30/96	12/3/96	9/1997	0.25	Last stage of implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
I. EXPEDITED PROCEDURES								
Sierra Leone*	UNDP	Enabling Sierra Leone to prepare its first national communication to the UNFCCC	7/9/96	9/11/96	---	Disbursement data awaited	0.31	Project document completion.
South Africa	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	4/17/98	6/1/98	10/16/98	10/98	0.32	GHG inventory completed.
Sri Lanka	UNDP	Enabling Sri Lanka to prepare its first national communication	6/7/96	3/2/97	11/4/97	12/1997	0.11	Project under implementation.
Suriname	UNDP	Enabling Suriname to prepare its initial National Communication in Response to its Commitments to the UNFCCC	5/19/98	9/10/98	10/19/98	12/1/99	0.35	Project under implementation.
Swaziland	UNDP	Enabling Activity for implementation of the UNFCCC	11/22/96	3/18/97	11/28/7	12/1/97	0.30	Project under implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
			I. EXPEDITED PROCEDURES					
Tajikistan	UNDP	Enabling Tajikistan to Prepare its First National Communication in Response to its Commitments to the UNFCCC	3/7/00	6/23/00			0.33	Project document finalization pending.
Tanzania*	UNEP	Enabling activity for the preparation of First National Communication	5/2/97	7/17/97	8/30/97	9/16/97	0.25	Initial National Communication awaiting Government endorsement.
Thailand	UNDP	Enabling Thailand to prepare its first national communication to the UNFCCC	9/22/97	11/13/97	6/5/98	(3) 1998	0.19	Project under implementation.
The F.Y.R. of Macedonia	UNDP	Enabling the F.Y.R. of Macedonia to Prepare its First National Communication in Response to its Commitments to the UNFCCC	10/8/98	2/5/99	8/4/99	12/99	0.35	Project document under preparation.
Togo*	UNDP	Enabling Togo to prepare its first national communication to the UNFCCC	2/20/97	6/18/97	4/98	9/97	0.34	Project under implementation.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
I. EXPEDITED PROCEDURES								
Tonga	UNDP	Enabling Tonga to prepare its first national communication to the UNFCCC	5/10/99	10/27/99	6/5/00		0.32	Project under implementation
Trinidad & Tobago	UNDP	Enabling activity for the preparation of First National Communication	1/5/98	1/22/98	5/18/98	6/1999	0.22	Project under implementation
Turkmenistan	UNEP	Enabling activity for the preparation of First National Communication	5/13/97	6/17/97	9/18/97	9/19/97	0.35	Initial national communications awaiting translation
Uganda*	UNDP	Enabling Uganda to prepare its first national communications	8/22/95	7/30/96	12/28/97	6/1997	0.08	Project under implementation
Vietnam	UNEP	Preparation of initial national communication related to the UNFCCC	5/29/98	11/6/98	3/8/99	3/99	0.21	Project under implementation
Yemen*	UNDP	Enabling Yemen to prepare its First National Communication to the UNFCCC	4/22/97	5/19/97	8/12/97	9/1998	0.20	Project under implementation

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I. EXPEDITED PROCEDURES								
Zambia*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	11/14/96	4/24/97	6/23/97	7/4/97	0.26	Draft Initial National Communication being finalized.
Zimbabwe	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	11/4/96	2/7/97	3/7/97	2/98	0.09	Initial National Communication submitted in May 1998.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
II. FULL PROJECTS (Standard approval procedures)								
Cook Islands, Fiji, Kiribati, Marshall Islands, Fed. States of Micronesia, Nauru, Samoa*, Solomon Islands*, Tuvalu, Vanuatu*.	UNDP	Pacific Island Climate Change Assistance Project (PICCAP)	N.A. Multi-Country Project	3/20/97	4/8/97	6/96	2.44	Project working closely with CC:Train (Phase 2). Niue participated in PICCAP with its own resources. Micronesia submitted its Initial National Communication in December 1997 and the following countries submitted theirs in October 1999: Cook Islands,; Kiribati, Marshall Islands, Nauru, Samoa, Tuvalu and Vanuatu.
Argentina	UNDP	Country Study on Climate Change	12/7/94	1/17/96	2/16/96	6/1996	1.00	Initial National Communication submitted in July 1997.
Armenia	UNDP	Country Study on Climate Change	3/31/95	12/15/95	5/7/96	12/1996	0.35	Initial National Communication Submitted in November 1998.
Bhutan*, Kingdom of	UNDP	Bhutan National Greenhouse Gas Project	1/30/96	6/26/96	8/13/96	6/1997	0.30	Project under implementation.

* asterisk indicates Least Developed Country status (Source: Human Development Report 1999, UNDP, website: http://www.undp.org/hdro/report.html); brackets indicate change to Annex I status
[2] Month/Day/Year (or Month/Year)
[3] Month/Year of financial statement report in which first disbursement is recorded. Actual disbursement would have occurred in fiscal quarter preceding the date.

COUNTRY[1]	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
II. FULL PROJECTS (Standard approval procedures)								
Botswana	UNDP	Enabling Botswana to fulfill its commitments to the UNFCCC	11/18/94	8/14/96	9/5/96	9/96	0.35	Project under implementation.
Brazil	UNDP	Enabling Brazil to fulfill its commitments to the UNFCCC	8/31/95	6/14/96	8/1/96	12/96	1.50	Project under implementation.
China	UNDP	China's Initial National Communication: Needs Assessment and Enabling Activity Preparation	2/25/00	5/9/00			3.60	The project for China to prepare its first national communication was approved by GEF Council.
Costa Rica	UNDP	Building National Technical Capacity to Develop Options for GHG emissions reductions and carbon sinks enhancement	12/8/94	6/7/96	6/14/96	12/96	0.47	Project under implementation.
Congo*, Democratic Republic of	UNDP	Enabling DR Congo to fulfil its commitments to the UNFCCC	8/17/95	3/7/97	7/97	Disbursement data awaited	0.35	Project under implementation.
Egypt	UNDP	Building Capacity for GHG Inventory and Action Plans in Egypt	11/16/94	4/22/96	6/12/96	12/96	0.40	Initial National Communication submitted in July 99.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT[3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
II FULL PROJECTS (Standard approval procedures)								
India	UNDP	Enabling Activity for the Preparation of India's Initial commitments to the UNFCCC	9/28/99	12/17/99			2.00	Project under implementation.
Jordan	UNDP	Capacity Building to enable response to the UNFCCC	11/12/94	10/17/95	4/18/96	9/96	0.24	Additional top-up for US$87,500 for vulnerability and adaptation incorporated into original activities. Initial National Communication submitted March, 1997. Remaining components under completion.
Lao People's Democratic Republic*	UNDP	National GHG Inventory Project	7/19/95	7/29/96	3/97	6/97	0.31	Project under implementation.
Lebanon	UNDP	Building capacity for GHG Inventory and Action Plans in response to the UNFCCC	3/11/95	7/11/96	12/11/96	9/97	0.29	Initial National Communication submitted November 1999.
Malaysia	UNDP	National GHG Inventory and Response to the UNFCCC	4/1/95	9/13/96	10/30/96	12/97	0.47	Project under implementation. Initial communication submitted in Aug 2000.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL[2]	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY[2]	DATE OF FIRST DISBURSEMENT [3]	AMOUNT OF GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
II. FULL PROJECTS (Standard approval procedures)								
Maldives*	UNDP	National GHG Inventory and Adaptation Assessment to climate change	10/29/94	10/4/96	11/16/96	6/97	0.86	Project under implementation.
Mexico	UNDP	Development of GHG Emission Coefficients from Live Systems in Central Mexico & development of a related information management system	12/2/94	6/7/96	10/29/96	12/96	0.31	Initial National Communication submitted in December 1997.
Papua New Guinea	UNDP	Climate Change Assistance Project	1/17/96	12/30/96	1/98	9/98	0.35	Project under implementation.
Sudan*	UNDP	Capacity Building to enable the Sudan's response and communication to the UNFCCC.	3/20/95	10/3/96	2/4/97	12/97	0.29	Project under implementation.
Tunisia	UNDP	National Strategy and Action Plans for emission reduction and communications under the UNFCCC.	2/3/95	8/14/96	9/6/96	6/97	0.57	Project under implementation.
Uruguay	UNDP	Institutional strengthening and enabling activities to comply with the UNFCCC.	11/25/94	11/13/95	12/18/96	6/96	0.70	Initial National communication submitted in October 1997.

Country[1]	Implementing Agency	Project Title	Date of Country Endorsement[2]	Date of GEF Council Approval[3]	Date of Project Document Signature By Agency[4]	Date of First Disbursement[5]	Amount of GEF Allocation (in US$ millions)	Comments
II. FULL PROJECTS (Standard approval procedures)								
Uzbekistan	UNDP	Country Study on Climate Change	8/7/95	1/2/97	4/2/97	6/97	0.33	Initial national communication submitted in October 2000

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL / ENTRY INTO GEF WORK PROGRAM[2]	DATE OF PROJECT DOCUMENT SIGNATURE[2]	DATE OF FIRST DISBURSEMENT[3]	GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
III. PROJECTS COVERING LIMITED COMPONENTS OF FIRST NATIONAL COMMUNICATION FROM NON-ANNEX I PARTIES								
Global	UNDP/UNEP	National Communications Support Programme	N.A.	3/31/98	8/17/98	N.A.	3.20	The following workshops (WS) have taken place or are scheduled: 5 WS on Inventories for Africa, Central America, Europe and CIS, the Caribbean and Asia-Pacific. 6 WS on Abatement for Central America, Europe and CIS, the Caribbean, Africa, Asia-Pacific, and Africa. One WS scheduled for South America. 6 WS on vulnerability and adaptation (V&A) for the Caribbean, Central America, Europe and CIS, the Arab States and Africa. 2 regional exchange WS for Africa, and Central America. One WS on V&A assessment for the Caribbean. One WS on the GHG inventory for the LUCF sector Central America. One WS on abatement scheduled for South America. 6 regional exchange WS scheduled for Africa, Europe and CIS, Small Island States, Asia, Arab States, and South America.

* asterisk indicates Least Developed Country status (Source: Human Development Report 1999, UNDP, website: http://www.undp.org/hdro/report.html); brackets indicate change to Annex I status
[2] Month/Day/Year (Month/Year)
[3] Month/Year of financial statement report in which first disbursement is recorded. Actual disbursement would have occurred in fiscal quarter preceding the date.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT†	DATE OF GEF COUNCIL APPROVAL/ ENTRY INTO GEF WORK PROGRAM‡	DATE OF PROJECT DOCUMENT SIGNATURE‡	DATE OF FIRST DISBURSEMENT‡	GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
III. PROJECTS COVERING LIMITED COMPONENTS OF FIRST NATIONAL COMMUNICATION FROM NON-ANNEX I PARTIES								
Antigua Barbuda, Bahamas, Barbados, Belize, Dominica, Grenada, Guyana, Jamaica, St. Kitts and Nevis, St. Lucia, Trinidad and Tobago	World Bank	Regional (CARICOM) Planning for Adaptation to Climate Change	N.A. Multi-Country Project	2/10/97	03/13/97	N.A.	6.30	A Mid-term review of CPACC was conducted in September 1999 to evaluate the extent to which the project's development objectives are being achieved. The review concluded that project implementation performance is satisfactory and on track. The first communication report to UNFCCC for St. Vincent and the Grenadines is awaiting endorsement by the national governments.
Antigua and Barbuda, Cameroon, (Estonia), Pakistan	UNEP	Country Studies on Climate Change Impacts and Adaptation Assessment	N.A. Multi-Country Project	2/96	5/1/96	N.A.	2.00	Project completed. All country reports submitted.
Argentina, Ecuador, (Estonia), (Hungary), Indonesia, Mauritius, Senegal, Vietnam	UNEP	Economics of GHG Limitation: Methodological Frameworks for Climate Change Mitigation Assessment	N.A. Multi-Country Project	1/18/96	3/18/96	N.A.	3.00	Project completed. Final report completed.

COUNTRY[1]	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL / ENTRY INTO GEF WORK PROGRAM[3]	DATE OF PROJECT DOCUMENT SIGNATURE[4]	DATE OF FIRST DISBURSEMENT[5]	GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
III. PROJECTS COVERING LIMITED COMPONENTS OF FIRST NATIONAL COMMUNICATION FROM NON-ANNEX I PARTIES								
Benin*, Bolivia, Chad*, Cook Islands, Cuba, Ecuador, Fiji, Kiribati, Marshall Islands, Nauru, Paraguay, Peru, Senegal, Solomon Islands*, Tuvalu, Vanuatu*, Samoa*	UNDP	Climate Change Capacity Building: CC: TRAIN-Phase II	N.A. Multi-Country project	3/26/96	3/27/96	N.A.	2.70	All Regional Training Workshops now completed. Operating in parallel with PICCAP for Pacific countries. Operating in close collaboration with activities undertaken by UNDP Sub-Saharan Africa capacity building project.
Vietnam (& Lithuania)	UNDP	Climate Change Capacity Building	N.A.	—	—	N.A.	0.90	

Country[1]	Implementing Agency	Project Title	Date of Country Endorsement[2]	Date of GEF Council Approval / Entry into GEF Work Program[3]	Date of Project Document Signature[4]	Date of First Disbursement[5]	GEF Allocation (in US$ Millions)	Comments
III. PROJECTS COVERING LIMITED COMPONENTS OF FIRST NATIONAL COMMUNICATION FROM NON-ANNEX I PARTIES								
Algeria, Libya, Morocco, & Tunisia	UNDP	Building Capacity in the Maghreb to respond to the challenges and opportunities created by the UNFCCC	N.A.	5/93	12/7/94	N.A.	2.50	Under implementation. Training activities and workshops on CC issues held regularly. Second TPR meeting held May 27, 1998.
Bangladesh*, China, Indonesia, Korea (DPR), Korea (RO)[1], Mongolia, Myanmar*, Pakistan, Philippines, Thailand & Vietnam	UNDP	Asia Least-cost GHG Abatement Strategy (ALGAS)	N.A.	12/91	8/18/94	N.A.	9.50	Project operations for 10 of 12 countries have been completed. The Final Project Review Board meeting took place April 1999. A donor consultative meeting was held early 1999. Korea (RO) submitted its Initial National Communication February 1998.
Costa Rica, Gambia*, Mexico, Morocco, (Poland), Senegal, Tanzania*, Uganda*, Venezuela	UNEP	Country Case Studies on Sources and Sinks of Greenhouse Gases	N.A.	11/91	9/28/92	N.A.	4.50	Project completed in March 1997.

[1] The Republic of Korea participated in Asia Least-cost GHG Abatement Strategy (ALGAS) at its own expense.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT[2]	DATE OF GEF COUNCIL APPROVAL/ ENTRY INTO GEF WORK PROGRAM[2]	DATE OF PROJECT DOCUMENT SIGNATURE[2]	DATE OF FIRST DISBURSEMENT[3]	GEF ALLOCATION (IN US$ MILLIONS)	COMMENTS
III. PROJECTS COVERING LIMITED COMPONENTS OF FIRST NATIONAL COMMUNICATION FROM NON-ANNEX I PARTIES								
Ghana, Kenya, Mali and Zimbabwe	UNDP	Building capacity in Sub-Saharan Africa to respond to the UNFCCC	N.A.	12/92	8/16/95	N.A.	2.00	Activities completed. Final evaluation mission in October 1998 concluded that project had successfully achieved all objectives. Results were presented at the COP4 in November 1998 and were very well received by all participants.
China	UNDP	Issues and Options in GHG emissions control	N.A.	5/91	3/6/92	---	2.00	Project completed in April 1995 and provides a framework for identifying new GEF climate change projects in China.
India	UNDP	Cost-Effective Options for Limiting GHG emissions	N.A.	5/93	6/4/98	12/98	1.50	Project under implementation. Top-up proposal to complete national communications is in the pipeline.

Terms used in the Table

'Date of GEF Council Approval': For 'Expedited Procedure Projects', 'Date of Council Approval' is the date of CEO approval.

''Expedited Procedure Projects': Projects approved using 'Expedited Procedures' whereby CEO approves project under authority delegated to him by the GEF Council.

'First Date of Disbursement': The data is drawn from the relevant quarterly financial statement report submitted by the Implementing Agency to the Trustee. The first date of disbursement therefore indicates the date of the quarterly financial

	report in which the first disbursement under the project is reported to the Trustee. (see Explanatory Notes). Actual disbursement would have occurred earlier in quarter preceding the date of the report.
'N.A.':	Not applicable
'Pilot Phase Projects':	Projects that were approved before the Instrument for the Establishment of the Restructured GEF was accepted in March 1994.
'Projects Approved through Standard Procedures':	Projects approved under the full project cycle of the restructured GEF, including approval by the Council.